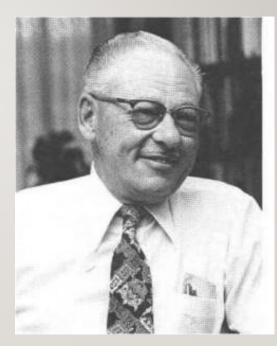
Everyday Geospatial: New Technologies Anyone Can Afford for 3D Field Scanning, Point Cloud Processing, Rock Mass Characterization, Slope Stability (and monitoring)

John Kemeny

2024-25 Richard H. Jahns Distinguished Lectureship In Applied Geology Professor Emeritus, University of Arizona

Honoring the Legacy of Richard H. Jahns

- Dr. Richard Jahns, professor of engineering geology, instrumental in the field as teacher, advisor, spokesman
- Lectureship was established in 1988 in sponsorship with AEG and the Engineering Geology Division of GSA.
- Lectureship provides funding for lectures at AEG chapters and academic institutions,
- Increase awareness about careers, professional organizations
- I am 37th Jahns Lecturer. Each lecturer different and brings a different perspective. What perspective do I bring?



Dick Jahns 1915-1983

What I can bring to the Jahns lectures

- Most of my career in rock mechanics, rock engineering
- I have been employed in consulting, government lab, academia, small business startup, total 45 years
- 35 yrs at University of Arizona, teaching/research in geomechanics, geospatial, rock fractures, design
- Successful spin-off company from UofA research, 22 years, sold to Hexagon Mining Division
- Since University retirement, focused on AI in Applied Geology to help combat climate change worldwide



Connections Between My Five Jahns Talks

Rock Mechanics What's it all about?

Entrepreneurship and Careers in Applied Geo

Everyday Geospatial for Rock Engineering

Innovative Monitoring and Characterization (with the help of AI)

Why is that Unstable Rock Still Standing? Rock Fracture Mechanics

Where Did I Go In 2025?

- Talks in Jan, Feb, Mar, Apr, May, Aug, Sept, Oct, Nov 2025
- About 50 talks so far (only a few more left)
- Mostly gave these 3 talks:
- Everyday geospatial (talk 2)
- Unstable looking rocks (talk 3)
- Innovative monitoring AI (talk 4)

Talk 1	2
Talk 2	14
Talk 3	12
Talk 4	18
Talk 5	2

Univ IL Chicago University of Kansas

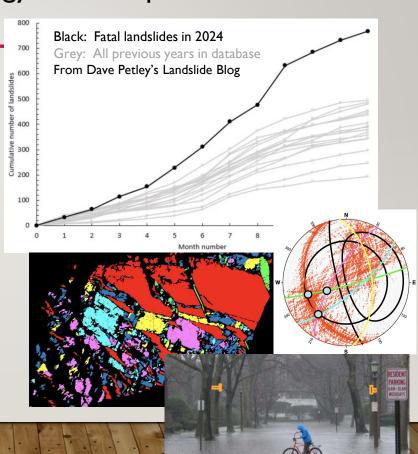
CSM Geological Engineering University Nevada Las Vegas (UNLV) University Nevada Reno (UNR) Western Washington University (WWU) Portland State University Missouri S&T University Missouri Columbia Univ. Missouri Kansas City (UMKC) Florida State University University of Florida University of South Florida Florida Atlantic University Florida International University **UNC - Charlotte** Wake Tech East Carolina University NC State **UNC- Chapel Hill UC Davis UC Berkeley** California State University, Fresno Illinois State University University of IL Urbana Northern IL University Wheaton College **Purdue University** Lafayette College Stockton University **Rowan University**

Denver AEG Las Vegas AEG Great Basin AEG Nasqually AEG Shannon & Wilson **Puget Sound AEG** Oregon AEG St Louis AEG Kansas City/Omaha AEG NC AEG Chapter Sacramento AEG SF AEG San Joaquin Valley AEG Nashville AEG **AEG Chicago Chapter**



Theme of all Five Talks: Applied Geology More Important Than Ever

- Applied Geology needed to combat increased natural hazards due to Global Warming and Climate Change
- Fires, draught, flooding, tornadoes, debris flows, landslides, sinkholes, rockfall, <u>all on the rise</u>
- Topics for Today:
- The Geospatial Explosion
- "Everyday" and "Democratized" geospatial
- Everyday workflow rock engineering
- Predicting rock instability using EdgeAl



The Explosion of Geospatial Technologies for Rock Engineering

- New geospatial technologies include hand-held and drone lidar and photogrammetry, Earth Observation (EO), multi-sensor (thermal, radar, spectral), pt. cloud processing software, 3D GIS
- Overall new monitoring and geospatial technologies transforming field of applied geology.
- Example: GeoWeek Geospacial Conference, 200 exhibitors, 3000 attendees, 50 countries
- Excellent entrepreneurship opportunities



The Need for "Everyday" and "Democratized" Geospatial

- Geospatial technologies are limited by spatial/temporal resolution, cost, personnel for learn/use/analyze/maintain
- Everyday Geospatial: the subset of technologies that are Easy, Affordable, Automatable, Accurate Enough
- Smartphones, inexpensive drones, edgeAl sensors, publicly available satellite (EO), open-source software
- Users include students, schools, hobbyists, companies
- Democratized Geospatial: <u>bringing advanced</u>
 geospatial to <u>under-resourced communities</u>. Combine
 w/ crowdsourcing, DIY kits, geo education

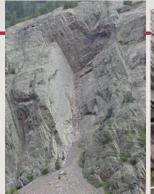




Humanitarian OpenStreetMap Team (HOT) for Nepal road data

Focus on Geospatial in Rock Engineering

- Rock Slope Stability
- Underground Stability
- Rockfall occurrences
- Stability of foundations for dams, bridges, and buildings (including scour and dam overtopping)
- Rock stability triggering landslides and debris flows











Example of Traditional Slope Stability - Plane Failure

- Highway slope in Tennessee
- Road closed when rockfall started to occur, video camera set up
- Note daylighting fractures at steep angle
- Also recent rainfall



Example of Plane Failure in Tennessee



Example of Plane Failure in Tennessee



Example of Plane Failure in Tennessee



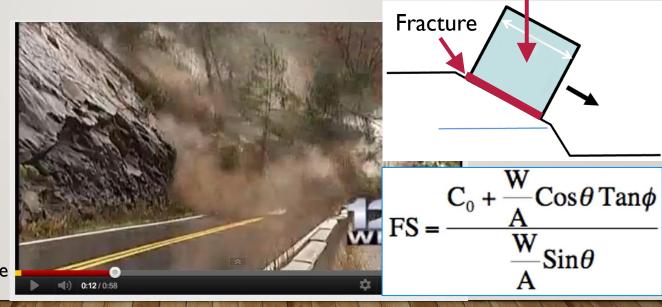
Factor of Safety (FS) for Plane Slope Failure

$$FS = \frac{\text{Strength (fracture cohesion + friction)}}{\text{Stress (block weight resolved into shear direction)}}$$

- Failure when FS ≤ I
- Assume properties (dry)
- FS = 1.31 => Stable
- Add pore pressure

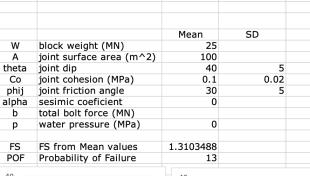
•
$$FS = \frac{C_o + (\frac{W}{A}cos\theta - p)tan\phi_j}{\frac{W}{A}sin\theta}$$

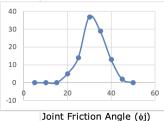
FS reduces to 1.0 => Unstable

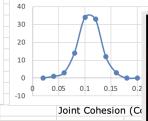


Probability of Failure (POF) for Plane Failure

- Assume variation in properties
- Variations in ϕ , Co, θ
- POF = % that fail
- POF = 13% (no water), 30% with
- With modern geospatial, lots of data for POF









Joint Dip	Cohesion	Friction angle	Factor Safety		
θ	Co	ф	FS	1 for failure	
39.104335	0.1074701	25.96554423	1.280701524	0	
38.541333	0.0824438	38.8403428	1.540020114	0	
42.87804	0.0591615	22.63993168	0.796957936	1	
49.734357	0.1236047	29.1460789	1.120289898	0	
43.103108	0.0881205	27.90885215	1.081799221	0	
37.592817	0.0921003	28.6623935	1.313890305	0	
42.818234	0.1285515	37.06867076	1.571822108	0	
34.98459	0.0570623	27.65626402	1.146926115	0	
44.507544	0.0909023	35.57578345	1.246391929	0	
38.392545	0.1403837	31.93447676	1.690770221	0	
39.412583	0.1199349	19.32105964	1.182260197	0	
38.604145	0.078015	27.74356708	1.158936175	0	
38.049445	0.093419	39.58983242	1.662877625	0	
34.107261	0.1069486	30.49422362	1.632482675	0	



Everyday Geospatial Tools for Rock Engineering

Field imaging

- iPhone Lidar
- Smartphone Photogrammetry
- Drone Lidar and Photogrammetry

Point cloud generation

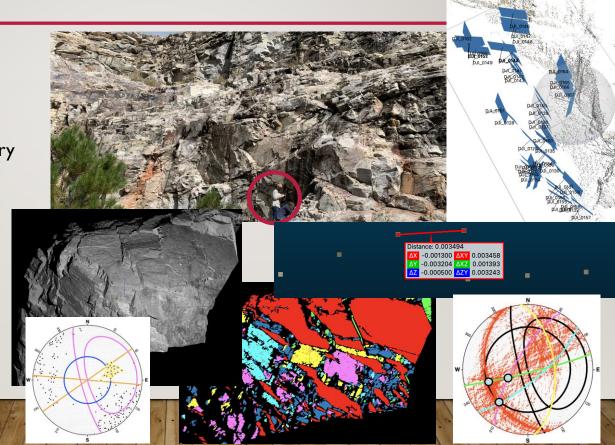
Smartphone and laptop apps

Point cloud processing

CloudCompare and other apps

Stability Analysis

Stereonet 11 and other apps



Everyday Field Imaging Example 1 (fun and fast): iPhone Lidar

- Example: Site 1 along Starr Pass Trailhead
- iPhone lidar works great for imaging a small slope or the lower portion of a large slope
- Need to be able to get close up (safely),
 iPhone lidar range 5 meters or less
- iPhone apps such as Scaniverse produce registered high-resolution point clouds (for free!)
- Portable: only notepad and iPhone needed

Richard E. Genser Starr Pass Trailhead

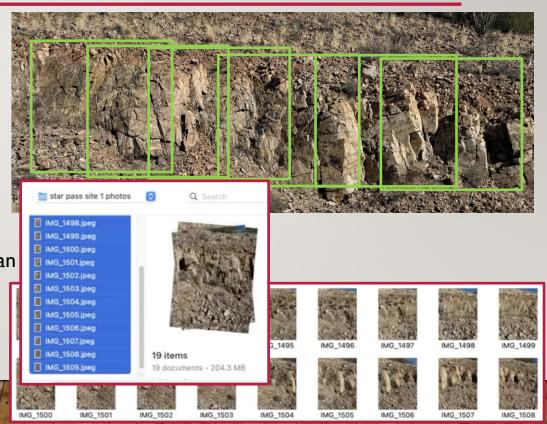
iPhone Lidar Imaging of Star Pass Site 1

- Example: small outcrop Starr Pass Trailhead
- First Steps: Step back, evaluate, then take some manual information to check registration and joint set orientations (use iPhone compass to find North), also key fracture features
- Next Step: Scaniverse scanning, get within 5 meters, scan over all fractures in outcrop
- Export a fully registered point cloud (.las)
- Accuracy: point cloud geometry accurate, cloud registration as good as iPhone gps



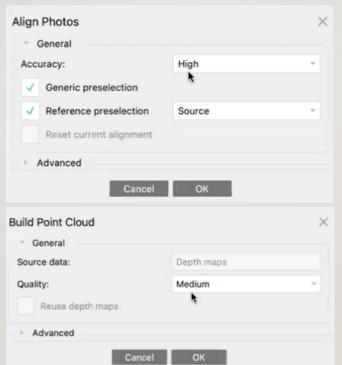
Field Imaging Example 2 (useful & accurate): Smartphone Photos

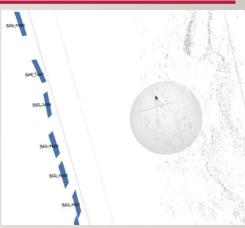
- Works great for small and large slopes
- Take photos with 50-60% overlap
- Distance away 1-2 times height of imaging
- Some apps will take the photos & produce fully registered high resolution point cloud (scaniverse, pix4Dcatch)
- Alternative is to export iphone photos to an app for processing, make sure photos have embedded gps in this case
- Shown here is Metashape Pro (19 photos)



Smartphone Imaging of Star Pass Site 1

- Metashape Pro workflow:
- Align photos (I use High)
- Build point cloud (I use Medium)
- Export fully registered cloud (.las)
- Note Metashape Pro not free (except 30 day trial)



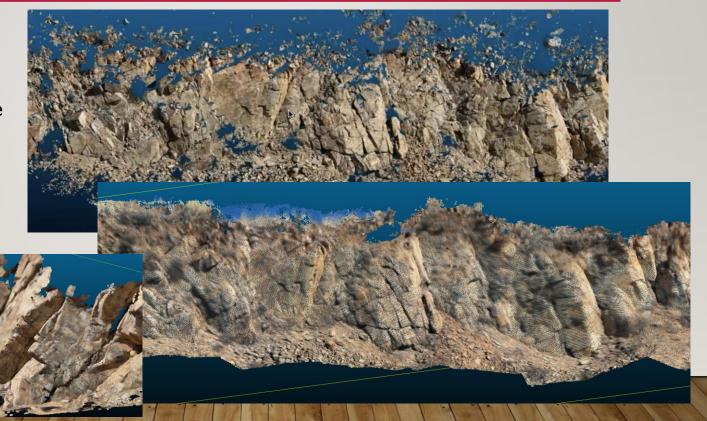




Compare iPhone Lidar vs Smartphone Photogrammetry

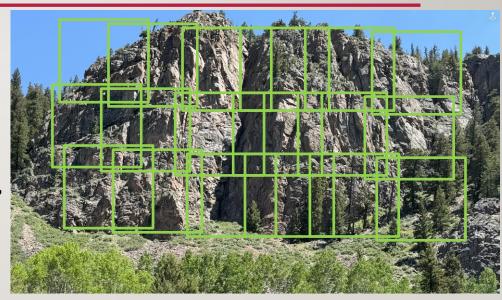
 iPhone photos produce better cloud in this case

 iPhone lidar shows some fuzziness, though other iPhone lidar uses are much clearer (example below from site 2)



Field Imaging Example 3 (beautiful results): Drone Photos

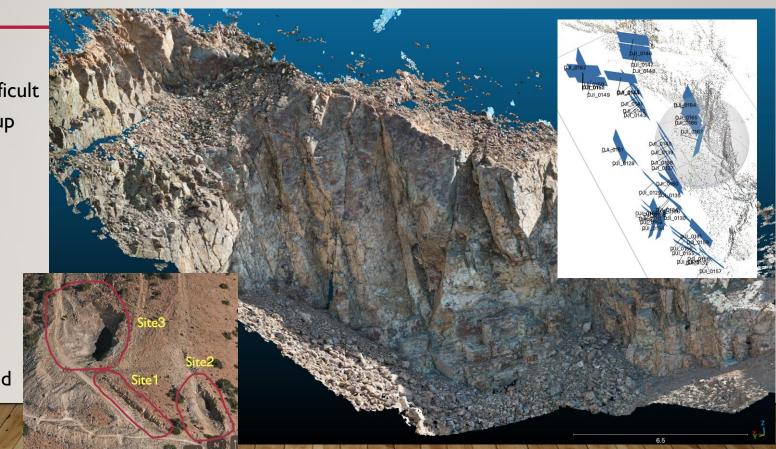
- Works great for large and very large slopes, sites with difficult access
- Many photos at different elevations, perpendicular to slope at each location
- Even low-cost drones have decent cameras (i.e., dji mini 3 pro <\$800)
- Export drone photos to pix4D, metashape, dronedeploy, etc. Some options are low cost
- Very high-quality registered clouds in outcrops with only sparse vegetation



How do drone restrictions impact the use of drones for applied geo?

Smartphone Imaging of Star Pass Site 3

- Star Pass Site 3
- > 40 ft slope, difficult to access close up
- Photos from DJI
 Mini 3 Pro
- 42 photos taken perp. to slope
- Pt. Cloud from Metashape Pro
- Beautiful pt. cloud



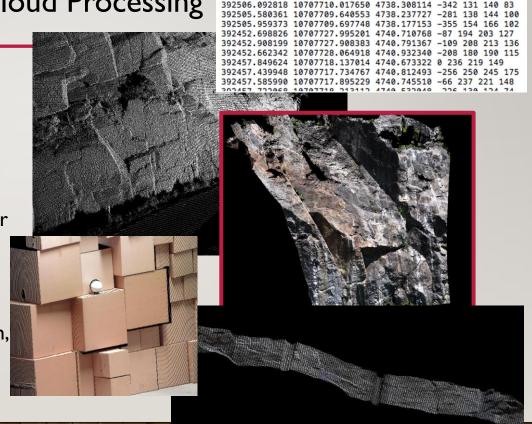
Field Imaging Example 4 (all-terrain but expensive): Drone Lidar

- Works for a wide range of slope conditions include highly vegetated
- Not really everyday: lidar unit expensive, needs more expensive drone for payload, replacement or repair expensive if drone lost or crashed
- Multiple lidar returns give tree tops & bare earth
- Shown here examples from DJI Matrice 350
 drone (~\$12k) + DJI Zenmuse L2 lidar (~\$12k)
- A few of these (or similar) can form the basis for a geospatial startup (+ RTK & surveying skills)



Next Step: Everyday Point Cloud Processing

- What is a point cloud: Millions of 3D xyz points that represent a topographic surface
- Grayscale point cloud (x,y,z + intensity),
 Color point cloud (xyz + rgb)
- Color point clouds have sun shadows, Lidar point clouds have line of site shadows
- Common file types: xyz, las, E57, ply
- Accuracy: point spacing < 2cm for geotech, flat wall scan to determine accuracy
- Lidar vs Photogrammetry (a long story)



392505.489102 10707709.837262 4739.031003 -209 144 153 86

392505.484102 10707709.770307 4738.981342 -348 140

CloudCompare Point Cloud Processing Workflow Site 1

 CloudCompare: all purpose, open-source with plugins, free, windows and mac

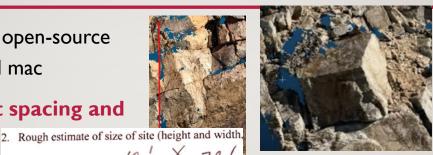
• 1. Check registration, point spacing and

accuracy

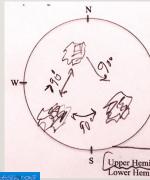
 2. Fracture characterization (orientation, size, sets, spacing, roughness, persisetence (rock bridges), etc.

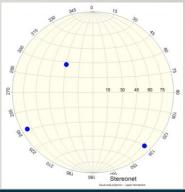
 3. Site characterization: height, width, slope dip and dip direction

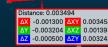
4.Additional information





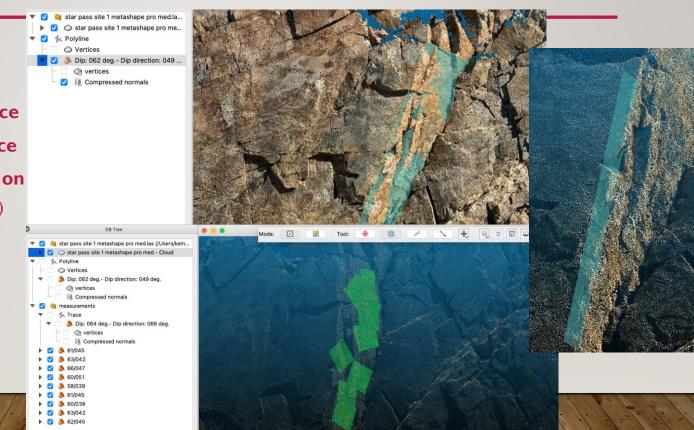






CloudCompare Point Cloud Processing Workflow Site I

- Four ways to get fracture orientation:
 - Outline fracture surface
 - Outline a fracture trace
 - Spot orientation data on a fracture (compass plugin)
 - Auto fracture delineation
- Associated fracture info:
 - Roughness
 - Spacing

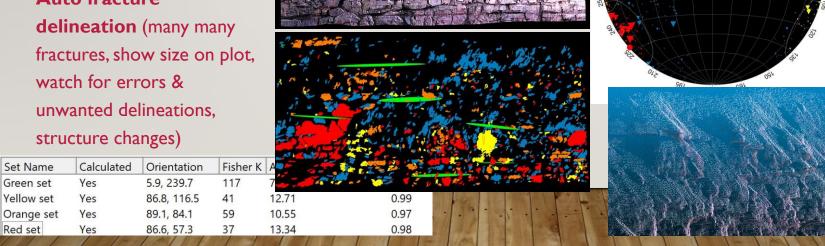


CloudCompare Point Cloud Processing Workflow Site I

Area [m] • 0.0 V0.3
Spillway_Nield - Cloud Traces

Block 2

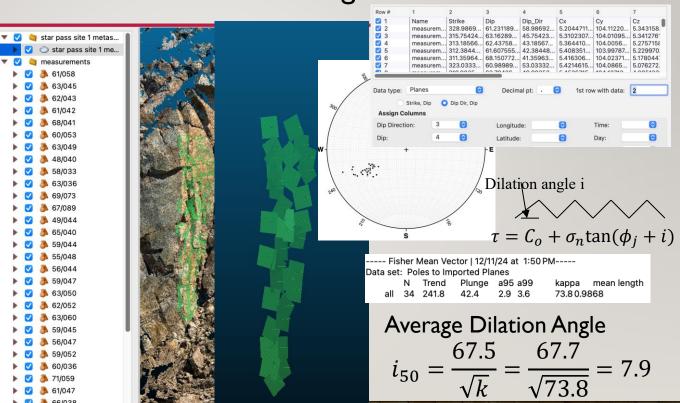
- Four ways to get fracture orientation:
 - Outline fracture surface
 - Outline a fracture trace
 - Spot data on a fracture
 - Auto fracture delineation (many many watch for errors & unwanted delineations,



CloudCompare Point Cloud Processing Workflow Site I

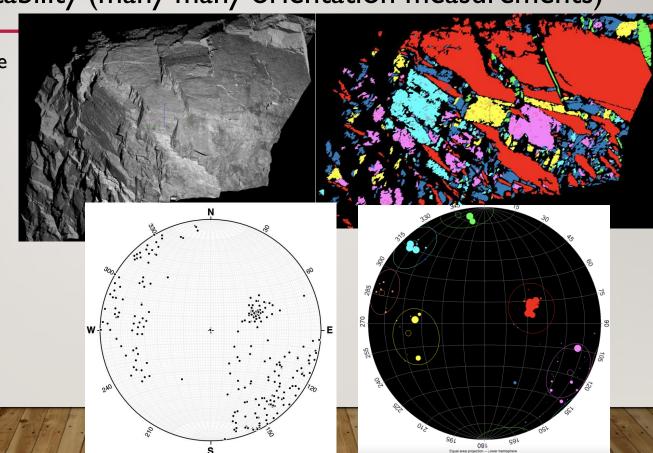
Properties DB Tree

- Four ways to get fracture orientation:
 - Outline a fracture surface
 - Outline a fracture trace
 - Spot orientation on fracture
 - Facet plugin to auto delineate
- Associated fracture info:
 - Roughness (dilation angle)
 - Spacing



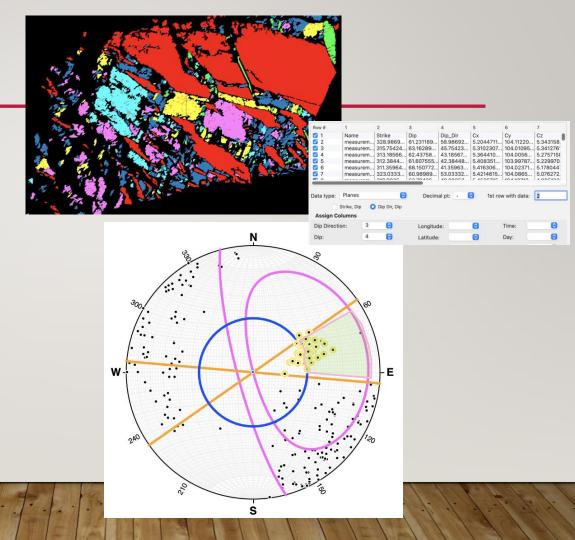
Modern Slope Stability (many many orientation measurements)

- Make sure automated fracture delineation accurate
- Example road cut along
 Million Dollar Highway CO
- Visualize delineated fractures on point cloud
- Show larger fractures with larger icon
- More Data => much better
 Probability of Failure calcs



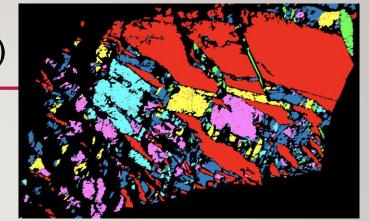
Plane Sliding

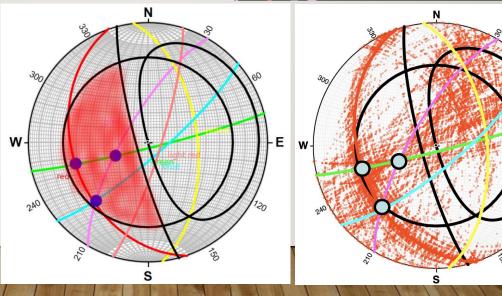
- Example analyze plane failure along
 Million Dollar Highway in Colorado
- Stereonet 11 by Dr. Almendinger (free)
- Import CloudCompare data, plot poles with plane sliding overlay (friction circle, daylighting envelope, dip direction limits)
- Zone outside friction, inside daylighting, within limits can slide (gravity only)
- POF of given set or all fractures



Wedge Sliding (many many intersections)

- Plot great circles for mean orientation of each set
- Any intersection inside friction circle, outside excavation surface can slide
- Plot every fracture intersection, lower hemisphere (28,920 inter.)
- Two intersection clusters associated w/ red set, plane sliding
- Only true wedge (pink/green)
 doesn't appear much in outcrop



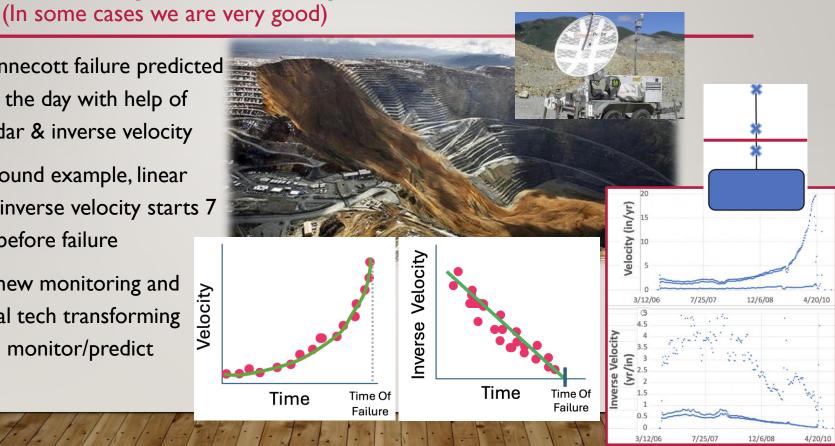


Monitoring and Predicting Natural Hazards

 2013 Kennecott failure predicted down to the day with help of slope radar & inverse velocity

 Underground example, linear trend in inverse velocity starts 7 months before failure

 Overall new monitoring and geospatial tech transforming ability to monitor/predict hazards



Monitoring and Predicting Natural Hazards

(But there are many difficulties where innovation needed)

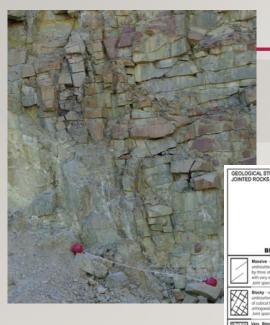
- For "everyday" natural hazards, difficult to predict exactly when and exactly where they will occur
- Innovations needed (with the help of Al):
 - •Useful Sensing: On-ground sensors are compact, easy install/maintain, long battery life, accurate enough
 - On-Board Analysis: from individual and sensor groups, report/predict/alarm, low power connectivity
 - •Monitor things other than deformation: but still utilize the concept of inverse "rate of something"
 - •Periodic Complete Rock Mass Inventory: Semicontinuous imaging/characterization drones, satellite



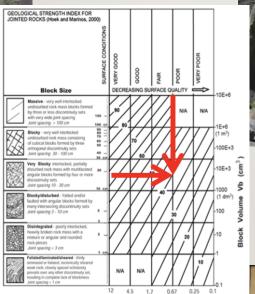




Example of Simple Rock Characterization: GSI

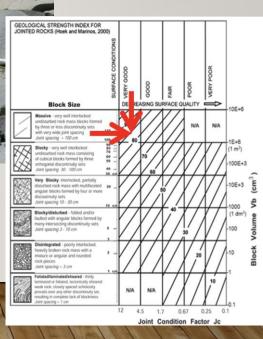


Geologic Strength Index (GSI)



Joint Condition Factor Jc

Limited usefulness when comparing sites

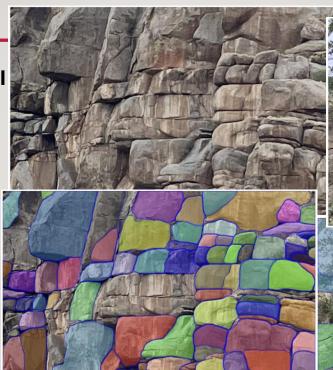


However, if 1) Automate using an Al Tool

Segmant Anything Model (SAM) from Meta Al

 Distilled version called "NanoSAM" specifically optimized for real-time performance on the Orin Nano platform

 Additional training for rock fractures possible using transfer learning



Al for Good







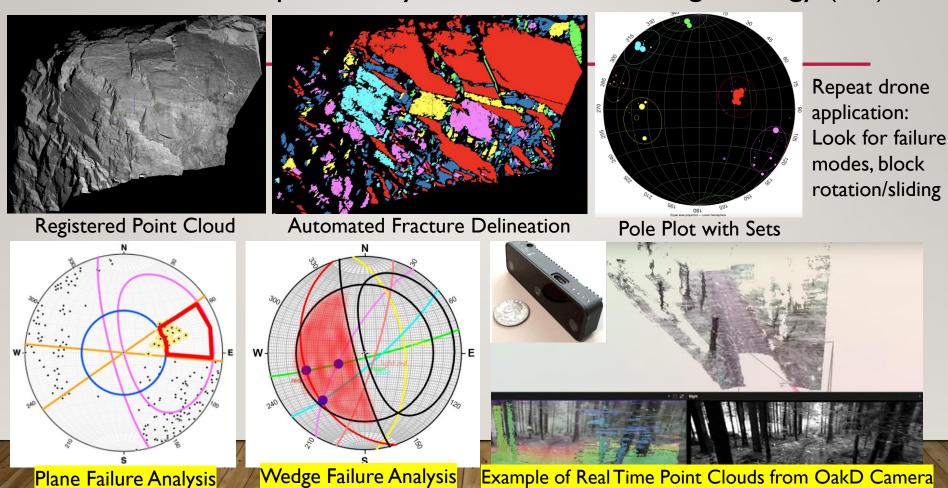
And 2) Continuous Characterization: A Future Monitoring Strategy (2D)

Monitoring 1000s of Miles of Mountain Highways for GSI change



Simple, Affordable, Automatable, Accurate Enough

Continuous Slope Stability: A Future Monitoring Strategy (3D)



The Potential for EdgeAl and TinyML in Applied Geology

- EdgeAI: trained AI routines in edge devices such as Raspberry Pi. Fast, portable, compact
- TinyML: Al routines in the smallest microcontrollers. Low energy, compact, easy install
- Edge-Al and TinyML can be transformational and bring more on-ground sensors, low cost, low energy, timely warnings
- EdgeAl/TinyML for micro-climate, micro-soil moisture/pore pressure, micro-rock and soil characterization, movement, predict hazards

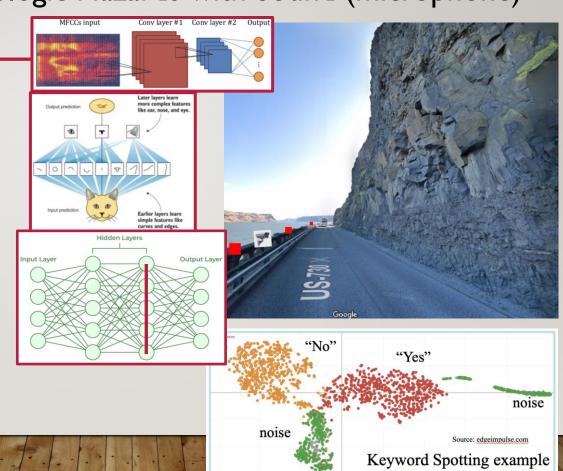


Microcontroller for TinyML. Orange sensors to monitor flooding



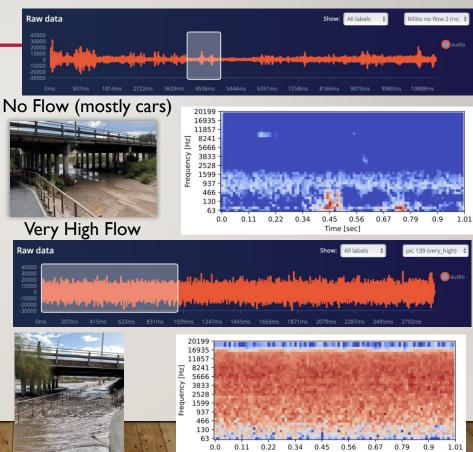
Monitoring Everyday Geologic Hazards with Sound (microphone)

- Microphone very low energy sensor, makes inexpensive sensor
- Embedding AI means data analyzed on board, low data transfer, privacy
- Example: model recognizes yes no
- Sound to image (spectrogram),
 CNN model to classify
- Embedded vector plot to assess accuracy



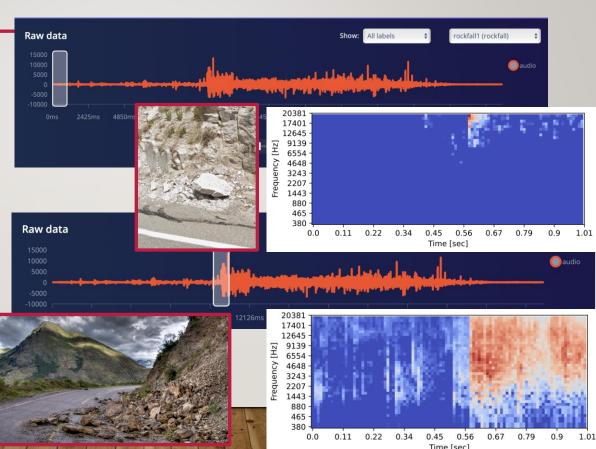
Monitoring Water Flow w/ Sound

- Location: Campbell Bridge which crosses the Rillito River in Tucson, AZ
- Initial Data: Hundreds of labeled sound samples collected (iPhone) underneath the bridge along the south side bike path during rainfall events between Sept '22 & Jan '23
- Approach: label each sound file based on ground truth data from USGS flow sensors located two miles upstream. Classify flow into 4 or 5 levels



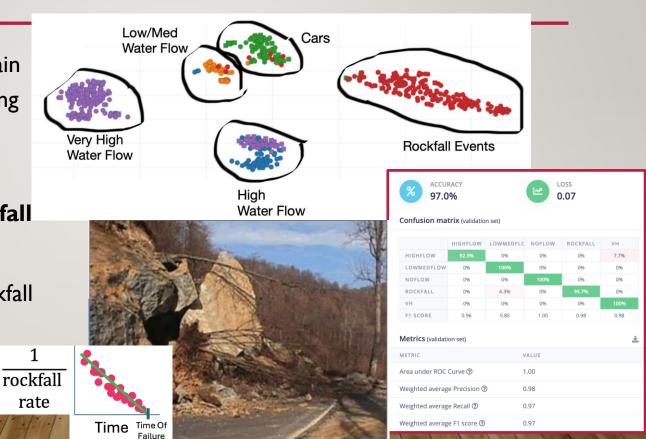
Monitoring Rockfall and Landslides w/ Sound

- Tested this idea with some rockfall sound clips from freesound.org
- Used the four-level flow model and added rockfall sounds
- Shown here are some waveforms and associated spectrograms (rocks high freq. compared with low freq. cars)
- Data split 77% training, 23% testing



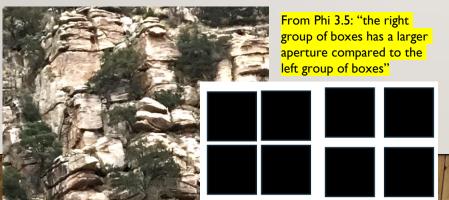
Combining Water Flow, Cars, Rockfall and Landslides

- Training performed well, main error was rockfall (red) being labeled as no flow (green)
- Can we use sound to predict "everyday" rockfall and landslides?
- Linear trending inverse rockfall rate vs time to indicate possible large event



Vision Language Models – VLM

- Vision Language Models (VLM), including LLaVA, Phi
 3.5-Vision, Gemini 1.5 compatible with Raspberry Pi
 Letson Orin Nano (suitable for EdgeAl)
- Provide text interpretation from a scene in real time from ground sensors, drones, dashcams
- GPT 5.0 a vision language model, not suitable EdgeAl
- Vision models can monitor rockfall, aperture, GSI and other indicators of slope degredation



The image depicts a mountainous road with a section that has fallen rocks onto the roadway. The rocks vary in size, with some large boulders scattered across the road, making it potentially hazardous for vehicles. The road itself appears to be a two-lane road with no visible lane markings, and it curves to the left in the distance. The surrounding landscape is rocky and barren, with sparse vegetation. The sky is overcast, suggesting that the weather might be unpredictable. The size of the rocks on the road ranges from small pebbles to large boulders, with some of the larger rocks being several feet in diameter.



The **roadway lane width** gives us a natural scale reference — a single lane of asphalt road is typically **3.0–3.5 meters (10–12 feet)** wide.

The fallen rocks appear scattered across perhaps **half a lane width** in places, suggesting individual block sizes can be visually compared against that scale.

Many of the smaller fragments look to be in the **20–40 cm range** (basketball to large backpack size).

Some of the larger blocks toward the middle of the road appear closer to **0.5–0.7 m across** (roughly the size of a suitcase or small boulder).

I don't see blocks larger than ~1 m in diameter in this photo.

Top example from Phi 3.5-Vision, bottom is GPT 5.0

Summary of Using AI to Monitor and Predict Everyday Slope Failure

- Innovation to monitor change using sound, vision, EdgeAl, GenAl
- Traditional point cloud change detection is complex, requires aligned clouds, need accurately registered clouds + fine alignment
- Alternative is to monitor slope degradation, (aperture, rockfall, GSI)
- Inverse "Rate of Change" to point to the time of failure, only need two points

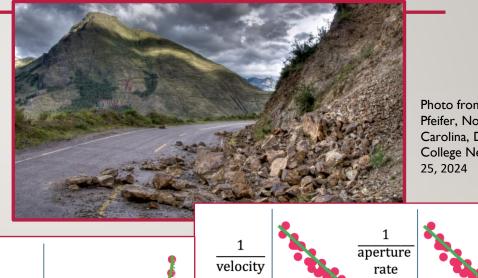
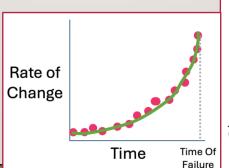
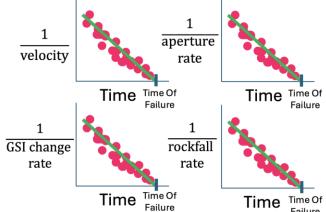


Photo from J. Pfeifer, North Carolina, Davidson College News Sept





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